

**Basel Biometrics Society seminar  
Basel, 26th June 2018**

**BBS Seminar:  
RCTs, personalized medicine, and surrogacy**

**Date:** Tuesday, June 26, 2018, 15:30-17.45

**Venue:** Auditorium Building 71, Roche Campus,  
Grenzacherstrasse, Basel

A statistical approach for  
personalized medicine and  
benefit / risk assessment

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San Francisco, CA*

# Limitations of current analyses of clinical trials

- A single (« primary ») endpoint drives decision-making
- Composite endpoints consider time to *first* event, instead of time to *most relevant* endpoint
- « Secondary » endpoints are analyzed descriptively
- Safety is informally balanced against efficacy, resulting in debatable risk / benefit analyses
- Patient preferences are not formally taken into account

# OXALIPLATIN IN COLORECTAL CANCER

## Leucovorin and Fluorouracil With or Without Oxaliplatin as First-Line Treatment in Advanced Colorectal Cancer

By A. de Gramont, A. Figer, M. Seymour, M. Homerin, A. Hmissi, J. Cassidy, C. Boni, H. Cortes-Funes, A. Cervantes, G. Freyer, D. Papamichael, N. Le Bail, C. Louvet, D. Hendler, F. de Braud, C. Wilson, F. Morvan, and A. Bonetti

***Conclusion:*** The LV5FU2-oxaliplatin combination seems beneficial as first-line therapy in advanced colorectal cancer, demonstrating a prolonged progression-free survival with acceptable tolerability and maintenance of QoL.

*J Clin Oncol* 18:2938-2947. © 2000 by American Society of Clinical Oncology.

# Advanced colorectal cancer

420 subjects with previously  
untreated metastatic  
colorectal cancer

R

210

210

LV5FU2 + oxaliplatin

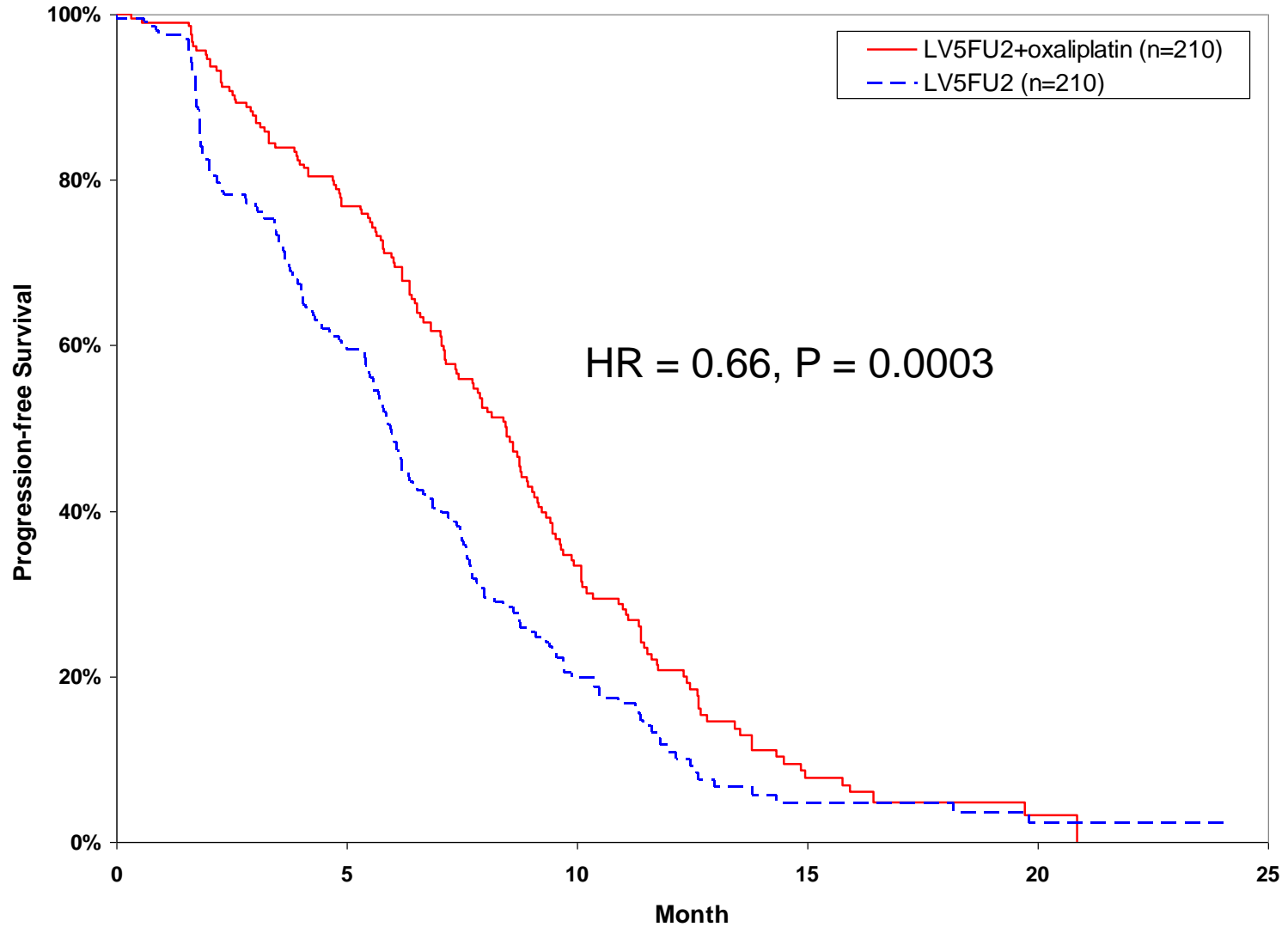
LV5FU2

new combination of 5-fluorouracil,  
leucovorin and oxaliplatin

standard regimen of 5-fluorouracil  
and leucovorin

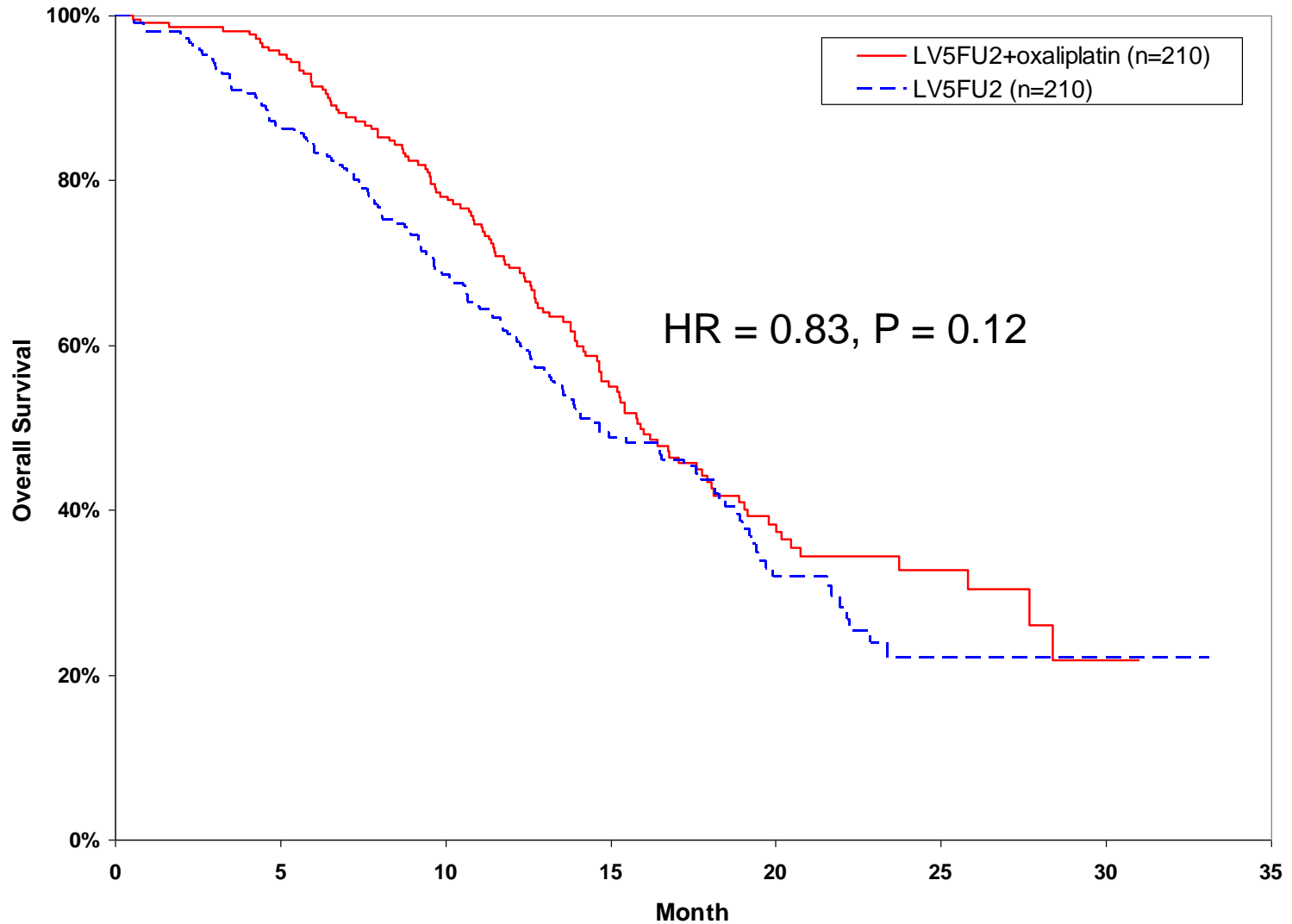
until disease progression, intolerance to treatment, or death

# Progression-free survival



*Ref: de Gramont et al, J Clin Oncol 18:2938, 2000.*

# Survival



*Ref: de Gramont et al, J Clin Oncol 18:2938, 2000.*

PROBLEMS?



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  - PFS not confounded by further line treatments, less affected by non cancer deaths, and has more events
  - OS clinically most relevant and measured without bias or error

# Problems?

1. The two endpoints (OS and PFS) are analyzed separately.  
PFS reached statistical significance, OS did not
2. Neither endpoint is perfect:
  - PFS not confounded by other treatments, less affected by non cancer deaths, and has more events
  - OS clinically most relevant and measured without bias or error
3. PFS ignores the time between progression and death.  
The time to first event ignores subsequent events. LV5FU2 + oxaliplatin might prolong PFS, but shorten OS afterwards.

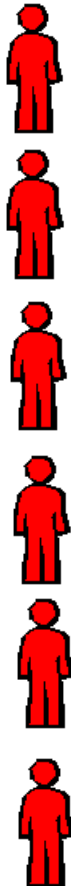
A DIFFERENT APPROACH

# A new method of analysis...

## Generalized pairwise comparisons:

- Compare every patient in the treated group with every patient in the control group
- each pair may favor treatment, control, or neither in terms of several prioritized outcomes (OS first priority, TTP second)
- This approach naturally leads to the « net treatment effect »

TREATMENT  
GROUP

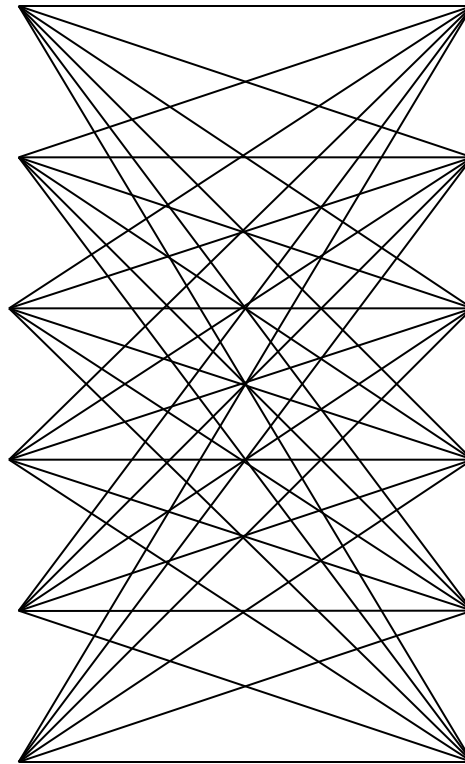
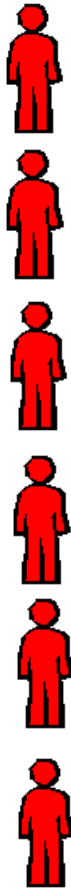


CONTROL  
GROUP



TREATMENT  
GROUP

CONTROL  
GROUP



ALL PAIRWISE COMPARISONS  
(36)

TREATMENT  
GROUP

CONTROL  
GROUP

Survival  
times

Survival  
times

3



1

5+



3

6



3

9+



7+

11+



9

12



9+

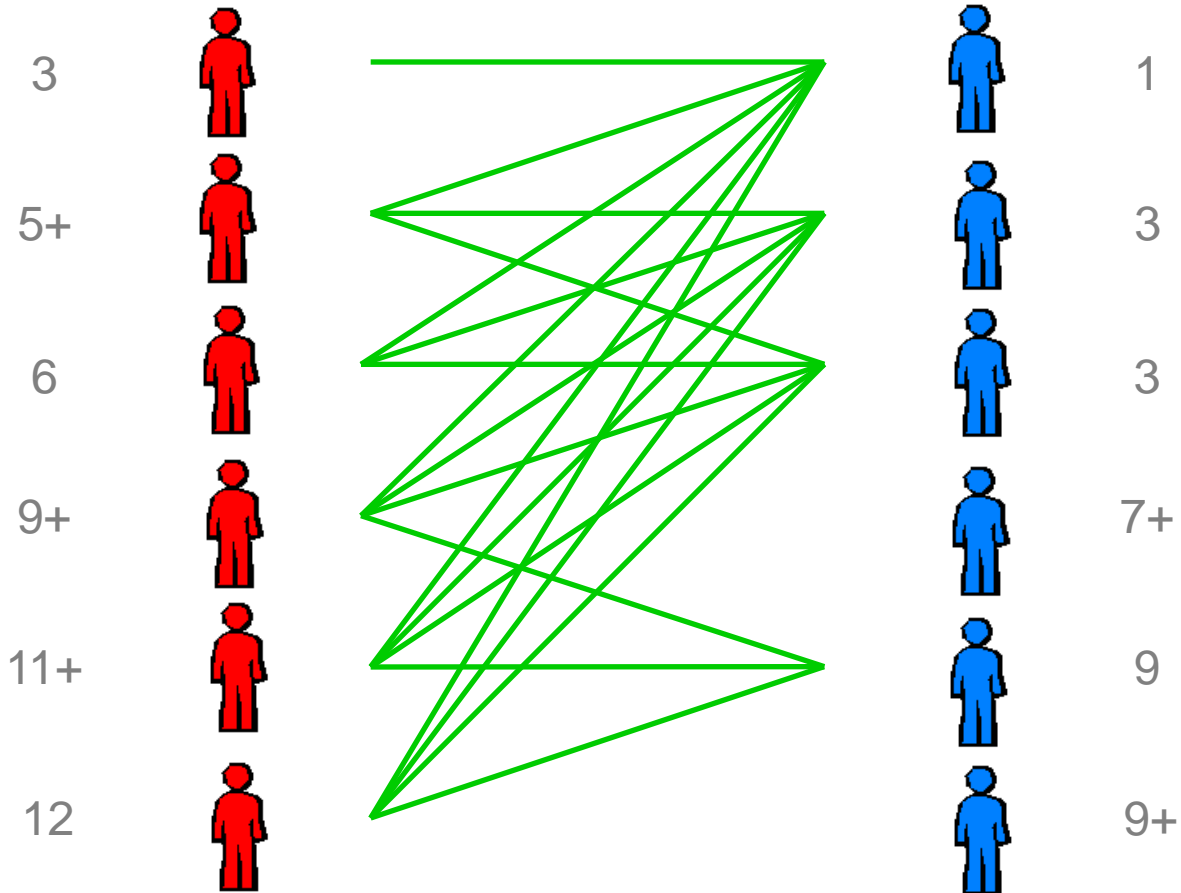
ALL PAIRWISE COMPARISONS

(36)



TREATMENT  
GROUP

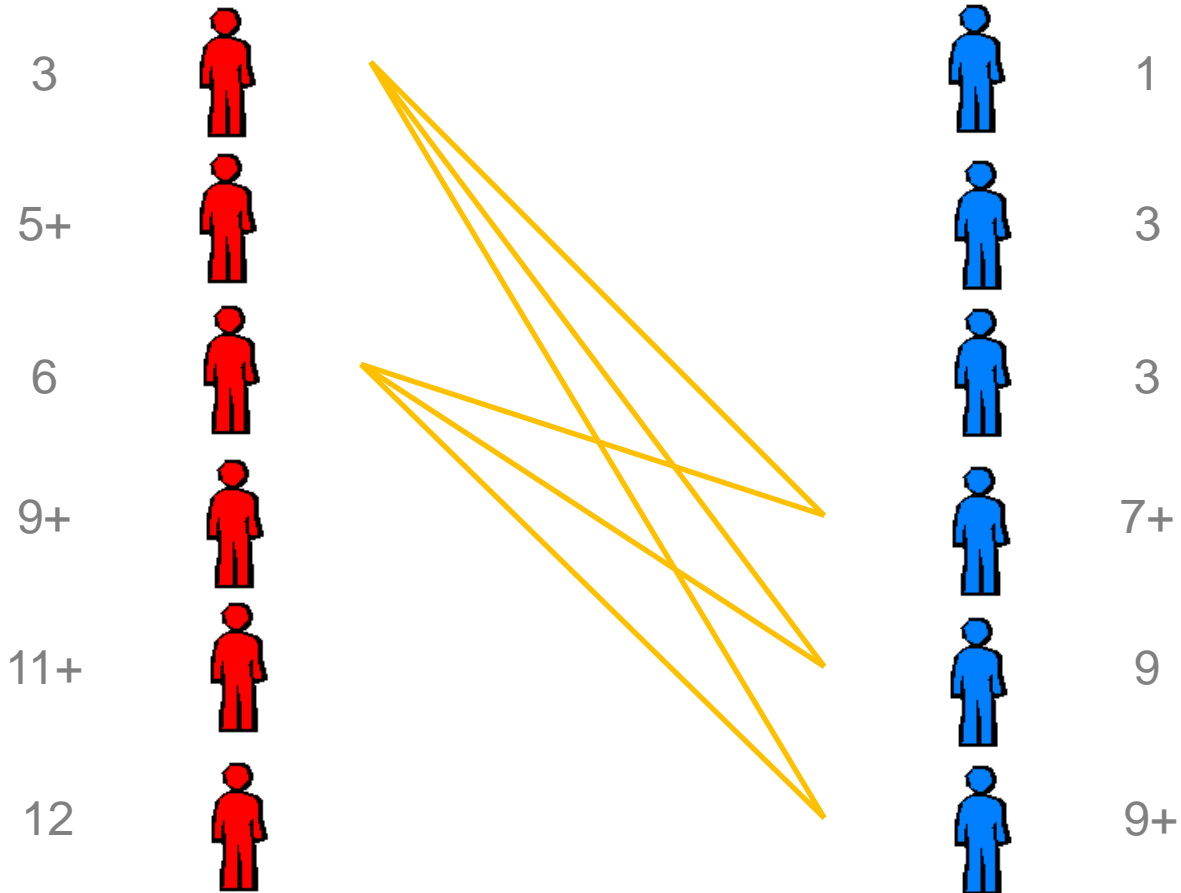
CONTROL  
GROUP



**TREATMENT BETTER  
(19 PAIRS)**

TREATMENT  
GROUP

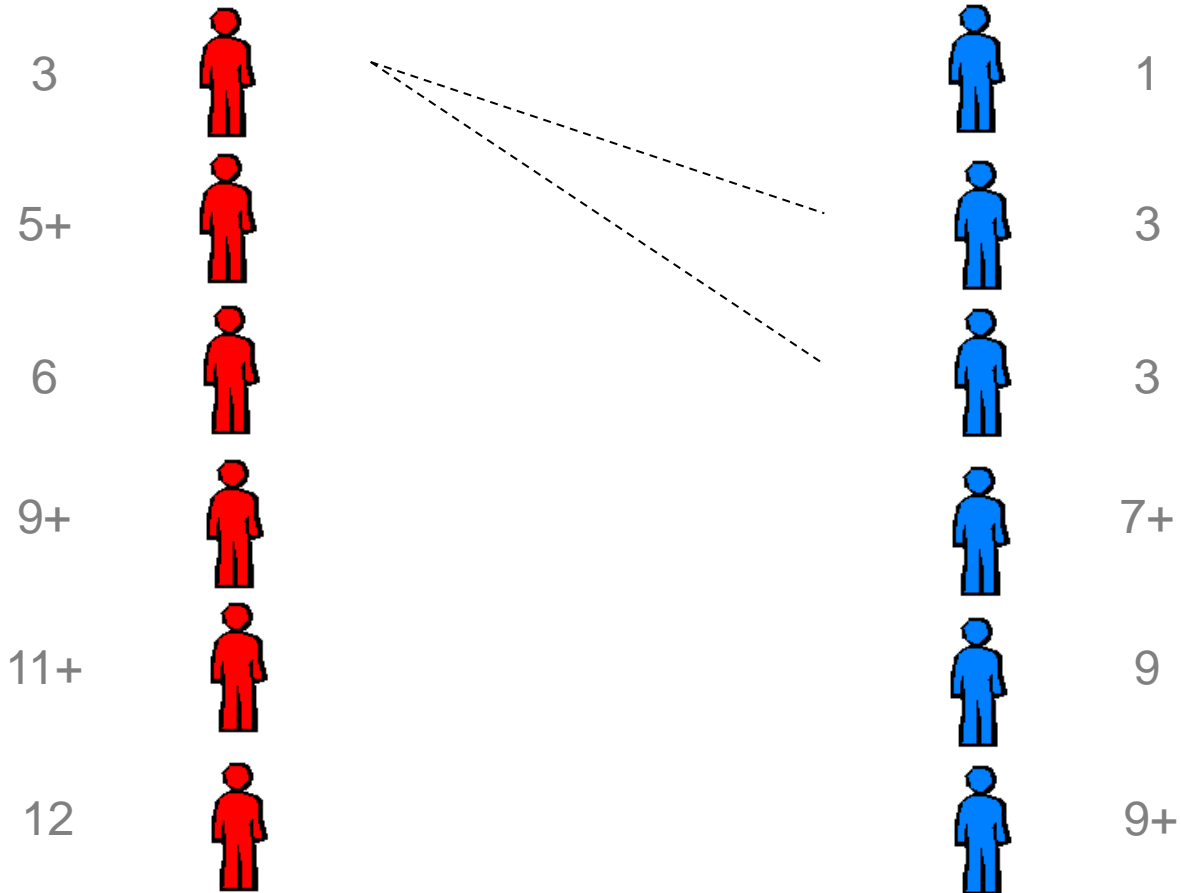
CONTROL  
GROUP



**CONTROL BETTER  
(6 PAIRS)**

TREATMENT  
GROUP

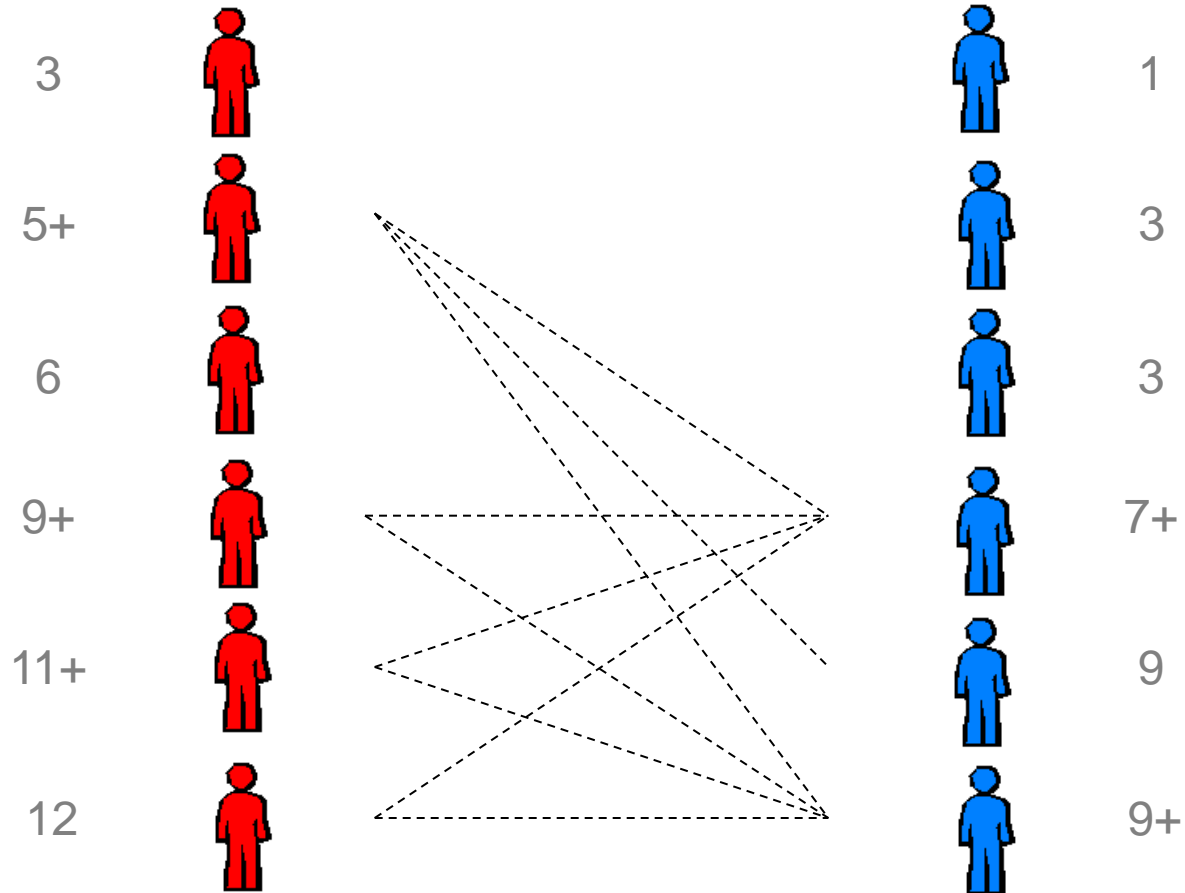
CONTROL  
GROUP



UNINFORMATIVE PAIRS:  
TIES  
(2 PAIRS)

TREATMENT  
GROUP

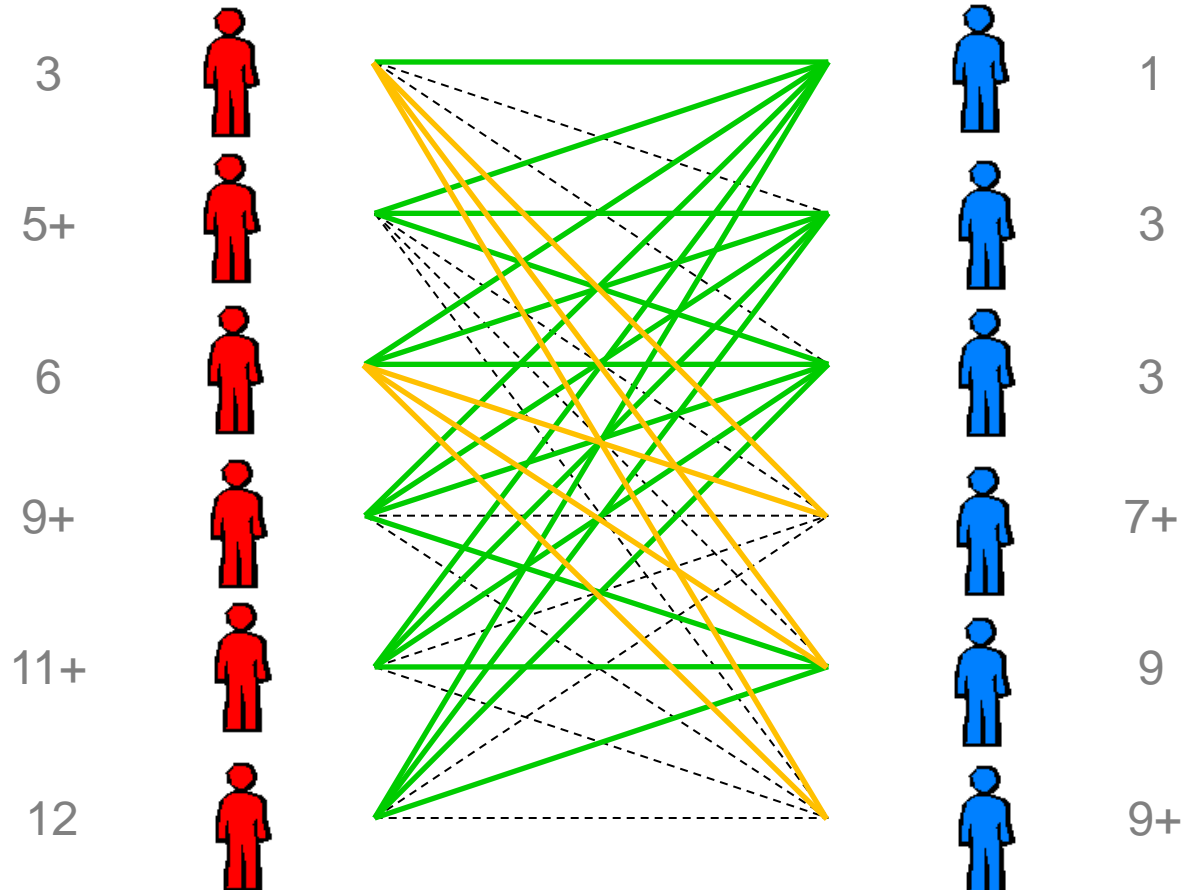
CONTROL  
GROUP



UNINFORMATIVE PAIRS:  
CENSORING  
(9 PAIRS)

TREATMENT  
GROUP

CONTROL  
GROUP



**19 PAIRS FAVOR TREATMENT**  
**6 PAIRS FAVOR CONTROL**  
11 PAIRS ARE UNINFORMATIVE

# A general measure of treatment effect

Consider a generalization of the Wilcoxon-Mann-Whitney  $U$ -statistic

$$U_{ij} = \begin{cases} +1 & \text{if } (X_i, Y_j) \text{ pair is favorable} \\ -1 & \text{if } (X_i, Y_j) \text{ pair is unfavorable} \\ 0 & \text{otherwise} \end{cases}$$

$$U = \frac{1}{m \cdot n} \sum_{i=1}^n \sum_{j=1}^m U_{ij}$$

$U$  is the difference between the proportion of favorable pairs and the proportion of unfavorable pairs. We call this general measure of treatment effect the « net benefit » ( $\Delta$ ).

Pocock *et al.* proposed a similar (relative) measure of treatment effect called the « win ratio ».

# Net benefit ( $\Delta$ )

For a binary variable,  $\Delta$  is equal to the difference in proportions

$$\Delta = p_T - p_C$$

For a continuous variable,  $\Delta$  is related to the effect size  $d$

$$\Delta = 2 \cdot \phi(d/\sqrt{2}) - 1$$

For a time-to-event variable,  $\Delta$  is related to the hazard ratio  $\lambda$  and the proportion of informative pairs  $f$

$$\Delta = f \cdot \frac{1 - \lambda}{1 + \lambda}$$

# Net benefit ( $\Delta$ )

$\Delta$  is a linear transformation of Harrell's  $c$ -index (or probabilistic index)

$$U = \Delta = 2 \cdot P(X > Y) - 1$$

Situation	$P(X > Y)$	$\Delta$
$T$ uniformly worse than $C$	0	-1
$T$ no different from $C$	0.5	0
$T$ uniformly better than $C$	1	+1



**BACK TO OXALIPLATIN IN COLORECTAL TRIAL**

# Prioritized outcomes

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<b>Priority</b>	<b>Outcome</b>	<b>Threshold of clinical relevance</b>
1	OS	12 months
2	OS	6 months
3	OS	0 month

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# Prioritized outcomes

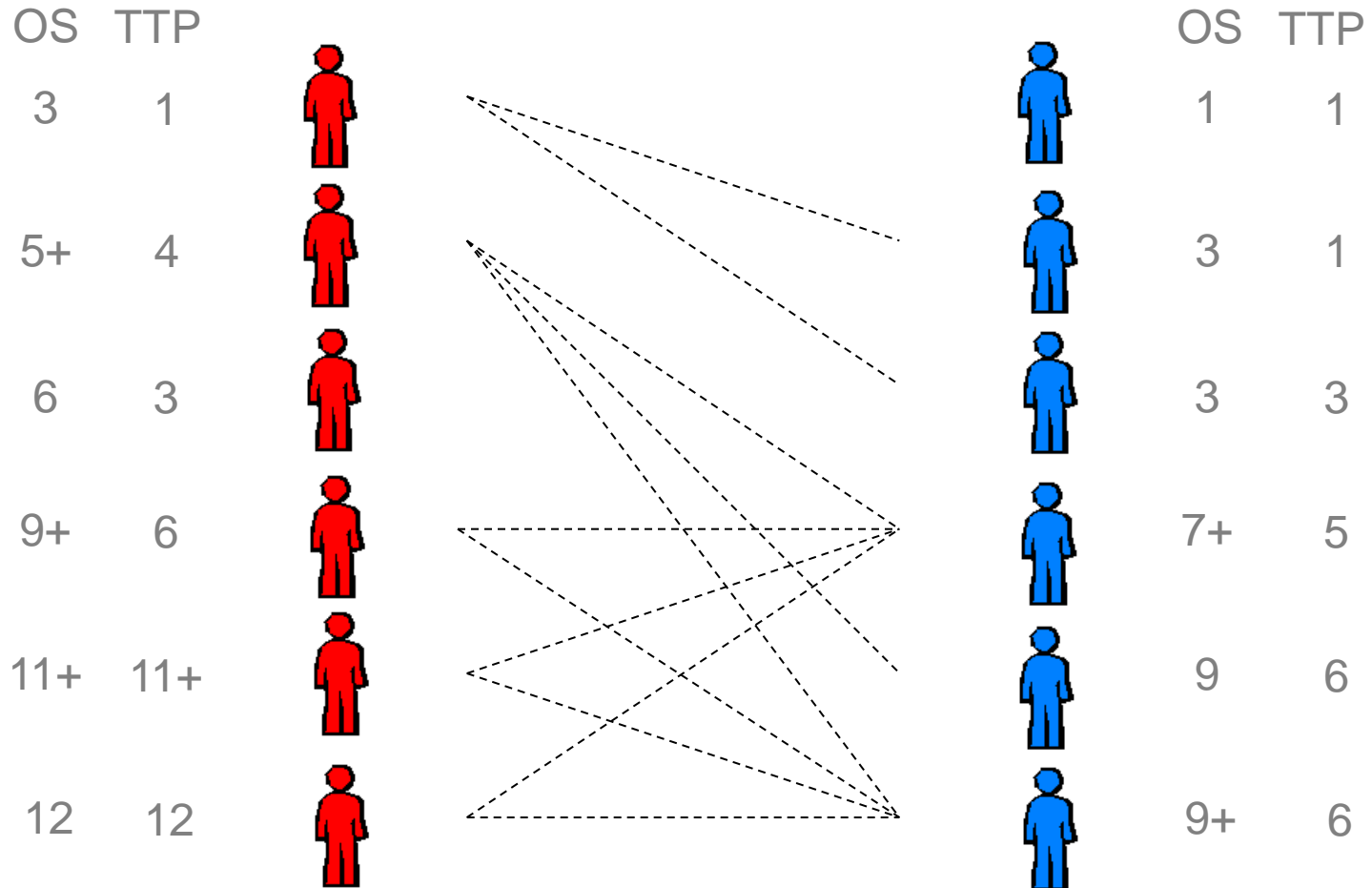
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<b>Priority</b>	<b>Outcome</b>	<b>Description</b>
1	OS	Time to death from any cause
2	TTP	Time to progression of disease

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TREATMENT GROUP

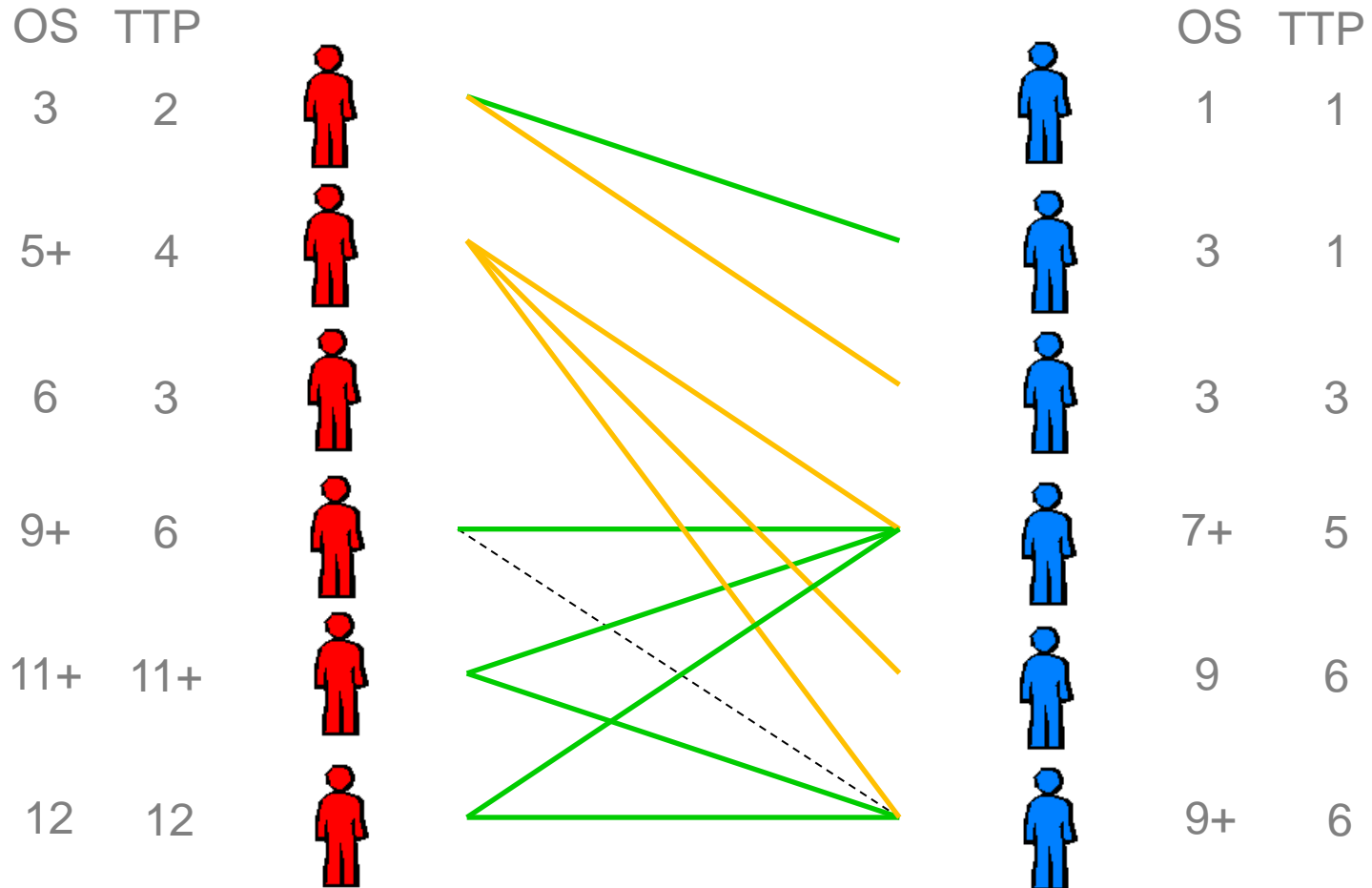
CONTROL GROUP



11 UNINFORMATIVE PAIRS

TREATMENT GROUP

CONTROL GROUP



1 UNINFORMATIVE PAIR

# Prioritized outcomes

GENERALIZED PAIRWISE COMPARISONS  
(210 × 210 = 44,100 pairs)

Difference in	Oxliplatin better	Standard better	$\Delta$	Cumulative $\Delta$	<i>P</i> -value *
OS	42.6%	32.5%	10.1%	10.1%	0.050
TTP	9.1%	4.4%	4.7%	14.8%	0.0054

\* *Unadjusted for multiplicity*

# CONCLUSIONS

- Generalized pairwise comparisons provide a versatile and powerful analysis method when multiple prioritized outcomes are of interest.
- The net benefit ( $\Delta$ ) is a measure of overall treatment effect (benefit / risk) that has direct clinical meaning.
- The priority of outcomes can be patient-dependent.



# References

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